

Audit & Standards Committee

11th June 2020

Internal Audit Reports

Purpose

This report presents the Internal Audit Reports for

- Risk Management
- GDPR
- Programme Management
- Resource Management & HR Systems

Freedom of Information & Section 12A of the Local Government Act 1972

Under the Freedom of Information Act this paper and any appendices will be made available under the Mayoral Combined Authority Publication Scheme. This scheme commits the Authority to make information about how decisions are made available to the public as part of its normal business activities.

Recommendations

The Audit and Standards Committee are asked to consider the findings and recommendations of the following internal audits:

- Risk Management – Appendix A
- GDPR – Appendix B
- Programme Management – Appendix C
- Resource Management & HR Systems – Appendix D

1. Introduction

1.1 This report presents the Internal Audit Reports for

- Risk Management
- GDPR
- Programme Management
- Resource Management / HR Systems

2. Proposal and justification

2.1 The Committee is asked to note the following updates:

Risk Management – Senior Management have held a risk management workshop to reflect on the findings of the report and to consider the agreed actions in detail.

GDPR – management actions have been incorporated into the annual GDPR action plan and a working group has been established to drive activity. Group membership includes the Data Protection Officer, the SCR SIRO and PTE SIRO. Two of the agreed actions have exceeded their target implementation date. This is due to disruption to business activities caused by the Covid-19 lockdown. Timeframes will be revised.

Programme Management – both recommendations have been addressed.

Resource Management / HR Systems – the Group lead for HR now attends the Management Board and a project plan for organisational change is in development.

3. Consideration of alternative approaches

3.1 A new tracker to track the progress of all management actions is in development and will be presented at a future meeting.

4. Implications

4.1 Financial

Not applicable – paper for update only.

4.2 Legal

Not applicable – paper for update only.

4.3 Risk Management

Not applicable – paper for update only.

4.4 Equality, Diversity and Social Inclusion

Not applicable – paper for update only.

5. Communications

5.1 Not applicable – paper for update only.

6. Appendices/Annexes

6.1 Risk Management – Appendix A
GDPR – Appendix B
Programme Management – Appendix C
Resource Management & HR Systems – Appendix D

REPORT AUTHOR POST

Officer responsible
Organisation
Email
Telephone

Claire James
Senior Governance & Compliance Officer
Ruth Adams
Sheffield City Region
Ruth.adams@sheffieldcityregion.org.uk
0114 220 3400

Background papers used in the preparation of this report are available for inspection at: 11 Broad Street West, Sheffield S1 2BQ

Other sources and references: n/a